

# Admissible Causal Structures and Violations of Causal Inequalities

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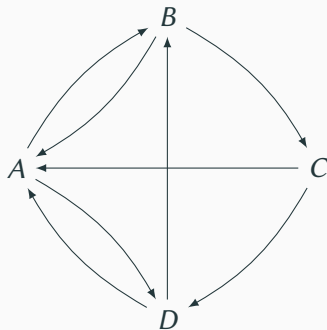


Ämin Baumeler, joint work with Lefteris Tselentis  
September 14, 2022 — CausalWorlds Conference, ETH  
IQOQI-Vienna, Austrian Academy of Sciences

What are the *qualitative* limitations on

- causal structures and
- correlations

imposed by *local quantum mechanics*?



## Information Processing

Novel forms of communication, *e.g.*, local operation and classical *cyclic* communication (extension of LOCC, *cf.* R. Kunjwal, *ÄB*, arXiv:2202.00440).

## Testing Quantum Gravity

Exceeding the limits implies incompatibility with local quantum mechanics.

## Classical vs. Quantum

Does quantum theory allow for more general causal structures?

## Higher-Order Computation

Cast quantum maps as higher-order maps (*cf.* poster by L. Apadula).

# Motivations

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Preliminaries: Processes and Causal Models

Admissible Causal Structures

Correlations

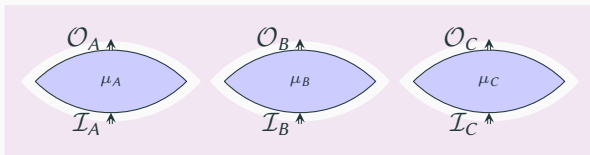
# **Preliminaries: Processes and Causal Models**

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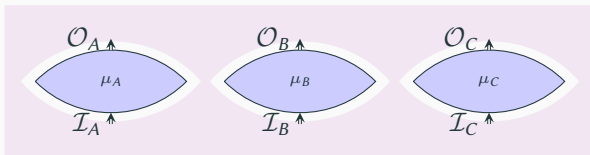
## Quantum Process $W$

- $W \in \mathcal{L}(\bigotimes_k \mathcal{I}_k \otimes \mathcal{O}_k)$  positive semi-definite,
- $\forall \{\mu_k \in \text{CPTP}(\mathcal{I}_k, \mathcal{O}_k)\}_k : \text{Tr}[W(\bigotimes_k \rho^{\mu_k})] = 1.$



## Classical-Deterministic Process $\omega$

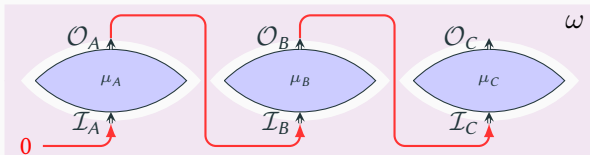
- $\omega : \times_k \mathcal{O}_k \rightarrow \times_k \mathcal{I}_k$ ,
- $\forall \{\mu_k : \mathcal{I}_k \rightarrow \mathcal{O}_k\}_k \exists r : r = \omega(\mu(r))$ .



# Processes: Example

$$\omega : \{0, 1\}^3 \rightarrow \{0, 1\}^3$$

$$(o_A, o_B, o_C) \mapsto (0, o_A, o_B)$$



# Split-Node Causal Model

A **split-node causal model** consists of

- a causal structure (directed *acyclic* graph,  $G = (V, E)$ )  
where  $V$  is a set of *parties* (each has input, output space),
- model parameters  $\{\rho_{k|\text{Pa}(k)}\}$ .

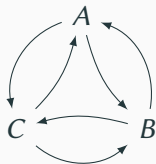
## Classical Deterministic

- $\rho_{k|\text{Pa}(k)} : \mathcal{O}_{\text{Pa}(k)} \rightarrow \mathcal{I}_k$
- $\omega := (\rho_{k|\text{Pa}(k)})_k$

## Quantum

- $\rho_{k|\text{Pa}(k)} : \text{Choi}(\text{CPTP}(\mathcal{O}_{\text{Pa}(k)}, \mathcal{I}_k))$   
with  $[\rho_{i|\text{Pa}(i)}, \rho_{j|\text{Pa}(j)}] = 0$
- $W = \prod_k \rho_{k|\text{Pa}(k)}$

# Split-Node Causal Model: Example



$$\mathcal{I}_k = \mathcal{O}_k = \{0, 1\}$$

$$\begin{aligned} &\{\rho_{A|B,C} : \mathcal{O}_B \times \mathcal{O}_C \rightarrow \mathcal{I}_A, \\ &\rho_{B|A,C} : \mathcal{O}_A \times \mathcal{O}_C \rightarrow \mathcal{I}_B, \\ &\rho_{C|A,B} : \mathcal{O}_A \times \mathcal{O}_B \rightarrow \mathcal{I}_C\} \end{aligned}$$

$$\omega := (\rho_{A|B,C}, \rho_{B|A,C}, \rho_{C|A,B})$$

A **split-node causal model** is

- **faithful** iff an edge  $u \rightarrow v$  implies that party  $u$  *can* signal to party  $v$
- **consistent** iff  $W/\omega$  is a quantum/classical-deterministic process

# Admissible Causal Structures

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# Admissible Causal Structures

A causal structure (directed graph)  $G = (V, E)$  is **admissible** if and only if there exists a *faithful* and *consistent* split-node causal model with causal structure  $G$ .



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A causal structure (directed graph)  $G = (V, E)$  is **admissible** if and only if there exists a *faithful* and *consistent* split-node causal model with causal structure  $G$ .

## Questions:

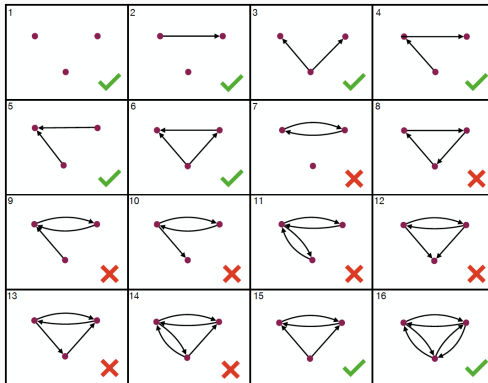
- Which causal structures are (in)admissible?
- Does there exist a causal structure that is admissible in the quantum, but inadmissible in the classical-deterministic case?

# Admissible Causal Structures

Distinct nodes  $i, j$  in a graph are **siblings** iff  $|\text{Pa}(i) \cap \text{Pa}(j)| > 0$ .

## Definition (SOC: Siblings-On-Cycles Graph)

We call a directed graph  $G = (V, E)$  a **siblings-on-cycles graphs** (SOC) if and only if each directed cycle in  $G$  has siblings.



# Admissible Causal Structures: Inadmissibility

## Statement (Inadmissibility)

*If  $G = (V, E)$  is NOT a SOC, then  $G$  is inadmissible.*

This holds in the classical-deterministic *and* in the quantum case.

# Admissible Causal Structures: Inadmissibility

## Statement (Inadmissibility)

If  $G = (V, E)$  is NOT a SOC, then  $G$  is inadmissible.

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Proof sketch (quantum):

- If a path  $u \rightarrow n_1 \rightarrow \dots \rightarrow n_k \rightarrow v$  has no siblings, then there exist interventions such that party  $u$  can signal to party  $v$ .
- $G$  contains a cycle  $u \rightarrow \dots \rightarrow u$  *without* siblings, so party  $u$  can signal to her/his own past.

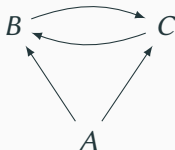
## Conjecture (Classical-deterministic process)

Given a SOC  $G = (V, E)$  the following faithful classical-deterministic causal model is consistent:

- $\mathcal{I}_k = \{0, 1\}$
- $\mathcal{O}_k = \text{Ch}(k) \cup \{\perp\}$
- $\rho_{k|\text{Pa}(k)} : \mathcal{O}_{\text{Pa}(k)} \rightarrow \mathcal{I}_k$   
 $(o_j)_{j \in \text{Pa}(k)} \mapsto \prod_{j \in \text{Pa}(k)} [k = o_j]$

# Admissible Causal Structures: Admissibility

Example:



$$\mathcal{I}_A = \mathcal{I}_B = \mathcal{I}_C = \{0, 1\}$$

$$\mathcal{O}_A = \{B, C, \perp\}$$

$$\mathcal{O}_B = \{C, \perp\}$$

$$\mathcal{O}_C = \{B, \perp\}$$

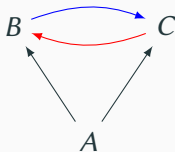
$$\rho_{A|\emptyset}(\emptyset) = 1$$

$$\rho_{B|A,C}(o_A, o_C) = [B = o_A][B = o_C]$$

$$\rho_{C|A,B}(o_A, o_B) = [C = o_A][C = o_B]$$

# Admissible Causal Structures: Admissibility

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$$o_A = B : \rho_{B|A,C}(B, o_C) = [B = o_C],$$

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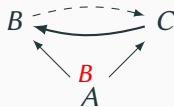
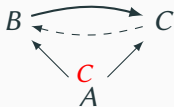
$$\rho_{C|A,B}(B, o_B) = 0$$

$$\rho_{B|A,C}(C, o_C) = 0$$

# Admissible Causal Structures: Admissibility

## Intuition:

The construction effectively breaks the directed cycle by control via parent:





**If the conjecture holds:**

**Statement (Admissibility)**

*If  $G = (V, E)$  is a SOC, then  $G$  is admissible.*

**If the conjecture holds:**

**Statement (Admissibility and Inadmissibility)**

*The graph  $G = (V, E)$  is a SOC if and only if  $G$  is admissible.*

**If the conjecture holds:**

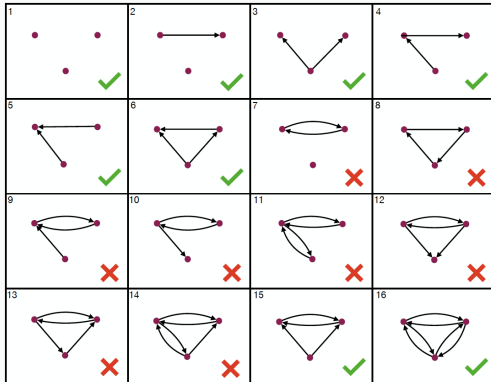
## **Statement (Admissibility and Inadmissibility)**

*The graph  $G = (V, E)$  is a SOC if and only if  $G$  is admissible.*

## **Corollary**

*The set of admissible causal structures in the quantum and in the classical-deterministic case coincide.*

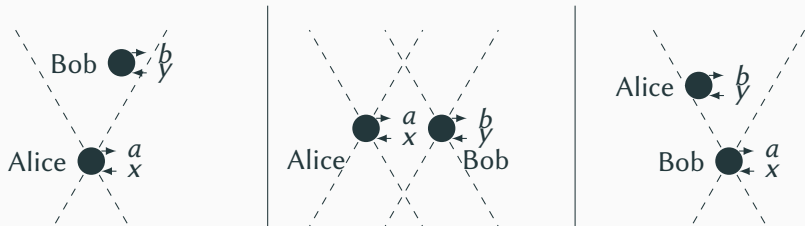
# Admissible Causal Structures



# Correlations

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# Causal Correlations



$$p(a, b | x, y) = q \times p(a | x)p(b | a, x, y) + (1 - q) \times p(b | y)p(a | b, x, y)$$

## Definition (Causal Correlations)

For a set  $V$  of parties, the correlations  $p(a_V | x_V)$  are causal if and only if

$$p(a_V | x_V) = \sum_k q_k p(a_k | x_k) p_{(a_k, x_k)}(a_{V \setminus \{k\}} | x_{V \setminus \{k\}}),$$

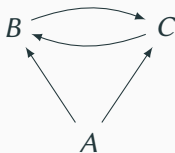
where  $p_{(a_k, x_k)}(a_{V \setminus \{k\}} | x_{V \setminus \{k\}})$  are *causal* correlations.

## Questions:

- Which causal structures *do not exhibit* non-causal correlations?
- Which causal structures *do exhibit* non-causal correlations?



## Causal Structures and Correlations: Causal Correlations



For a directed graph  $G$ , a cycle  $C$  is called **induced** if and only if the subgraph  $G[C]$  is the cycle graph.

### Statement (Causal Correlations)

*Let  $\omega$  be a classical-deterministic process.*

*If all cycles in the causal structure of  $\omega$  are **induced**, then  $\omega$  yields only causal correlations.*

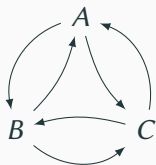
# Causal Structures and Correlations: Causal Correlations

Proof sketch:

- Lemma: A graph where all cycles are induced has a *source* node
- Reduce over source node, and repeat.
- This gives a decomposition of the correlation of the form

$$p(a_V | x_V) = \sum_k q_k p(a_k | x_k) p_{(a_k, x_k)}(a_{V \setminus \{k\}} | x_{V \setminus \{k\}})$$

# Causal Structures and Correlations: Non-Causal Correlations



## Statement (Non-Causal Correlations)

*Let  $\omega$  be a classical-deterministic process.*

*If the causal structure of  $\omega$  contains a cycle  $C$  where all common parents are inside  $C$ , i.e.,*

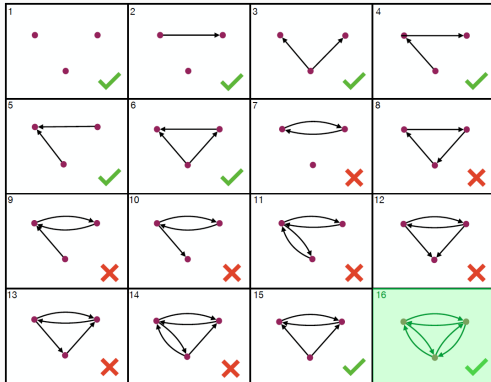
$$\bigcup_{k \neq \ell \in C} \text{Pa}(k) \cap \text{Pa}(\ell) \subseteq C$$

*then  $\omega$  yields non-causal correlations.*

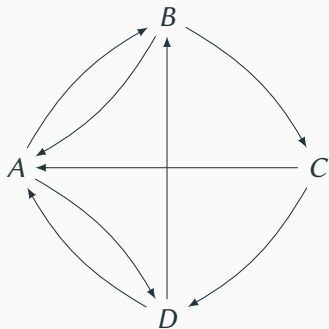
Proof sketch:

- Consider the following game played by all parties in  $C$ :
  1. A random party  $k \in C$  is selected
  2. A random bit  $b$  is distributed to all parties in  $C \setminus \{k\}$
  3. The parties win the game whenever party  $k$  guesses  $b$  correctly
- With causal correlations, this game is won with probability at most  $1 - 1/2|C|$
- The process  $\omega$  allows for a *deterministic violation* of this inequality

# Causal Structures and Correlations



# Summary



Cycles:

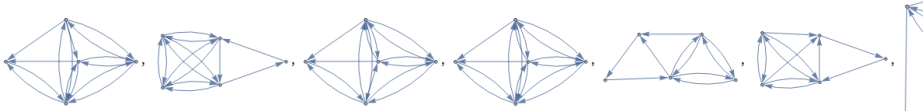
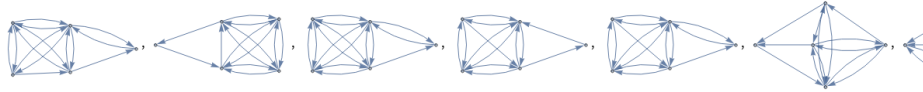
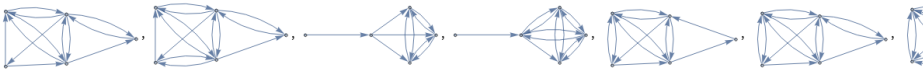
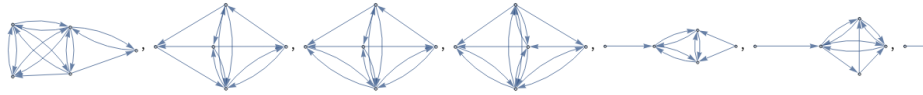
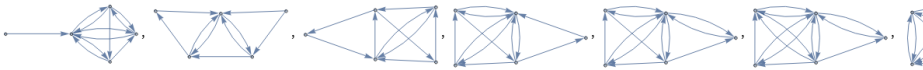
$(AB)$ ,  $(ABC)$ ,  $(ABCD)$   
 $(AD)$ ,  $(ADB)$ ,  $(ADBC)$   
 $(BCD)$

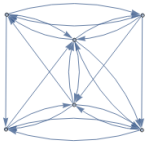
Induced cycles:

$(AB)$ ,  $(AD)$ ,  $(BCD)$

Violation of causal  
inequality:

$\{A, B, C, D\}$





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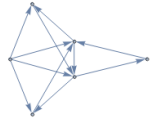
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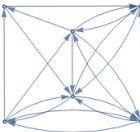
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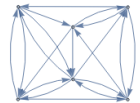
**Merci.**



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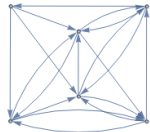
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